Animacy Distinction in (Mostly) Slavic Possessive Relative Pronouns

1. Introduction

- The point of departure: in some languages a primarily animate word is used to relativize on inanimate possessors, as in (1).
- (1) RUSSIAN, RNC

 $\langle ... \rangle$ dal'še pošel tekst, **č'e** avtorstvo prinadležalo Šeremetu¹

further went text whose authorship belonged to.Sheremet 'There followed a text whose author was Sheremet'.

There followed a text whose author was Sheremet'.

- In what sense is Russian *čej* "primarily animate"?
 - Synchronically: the more frequent interrogative pronoun (2a–b) and indefinite pronouns (2c) refer (almost) exclusively to animates.
 - Diachronically: the interrogative use is in fact etymologically primary, see e.g. (Bauer 1967; Gołąb, Friedman 1972).

(2) RUSSIAN, constructed examples

•

- a. ^{??}Čej avtor Šeremet? whose author Sheremet lit. 'Whose author is Sheremet?'
- b. ^{??}Ja ne znaju, čej avtor Šeremet. I not know whose author Sheremet lit. 'I don't know whose author Sheremet is'.
- c. ^{??}Čej-to avtor whose-INDEF author

lit. 'someone's author'

- Cf. a similar distribution in the use of English *whose*: "While the interrogative genitive *whose* is never used of things, the relative *whose* may be used to avoid the postponed *of which*" (Jespersen 2006/1933: 152).
- (3) ENGLISH (Jespersen 2006/1933: 152) *A hill, whose peak was still buried in the fog* (Stevenson)
 - This doesn't seem to be a usual case with other relativized positions, cf. *The hill who...
 - **Questions** to be partly answered:
 - Where (in which languages)?
 - How often?
 - Why in this very position?
 - **Problems** (why make a corpus study):
 - Judgement tasks can be problematic (and, in fact, sometimes are problematic) for the speakers:
 - the construction is "illogical";
 - there's often a more frequent and more logical alternative, like *kotorogo* (which.GEN) in Russian;
 - the construction is relatively rare (see below);
 - the construction is often stylistically non-neutral, e. g. "*čijto* is not very common in spoken Bulgarian" (Gołąb, Friedman 1972: 45).

E. g., rejected altogether by some Russian and English speakers, even though the examples are relatively frequent.

¹ Russian, Ukranian, Belorusan, Bulgarian, Macedonian and Armenian data are transliterated.

- The descriptions seem to be unjustifiably conservative on this point if this issue is mentioned at all, e. g.
 - SerboCroation: see an overview in Kordić (1995: 130–132);
 - Russian. Švedova (1980: § 2880): čej can only be used with reference to
 - humans (+ "personification" + collective nouns in some contexts)
- (4) Russian, RNC, Vladimir Orlov. Al'tist Danilov (1980)

 $\langle ... \rangle$ bljuda i butyli, \check{c} 'i aromaty, soki, zapakhi i bukety

dishes and bottles whose odours juices, smells and bouquets

kogda-to privlekali junogo Danilova $\langle ... \rangle$

once attracted young Danilov

lit. '...dishes and borrles whose odours, smells and bouquets used to attract the young Danilov'

- RNC, 1950–2000: about 41 ipm of *čej* with inanimate head (24 with a head clearly unrelated to people)
- · Slovene. (Gołąb, Friedman 1972: 41): only personal antecedents for *čigar*.

Nova Beseda, ~ 1930-now: 7% of inanimate possessor relativization.

- \rightarrow corpus study, wherever possible
 - Still some problems
 - extremely rare
 - rare position to be relativized, low on the Accessibility Hierarchy, relativization is often avoided, see e.g. (Fiorentino 2007: 270, 279);
 - rare kind of possessor.

 \rightarrow large corpora needed;

 single examples don't seem reliable (all the conclusions below are based on multiple examples)

 \rightarrow even larger corpora and much patience needed.

- Not considered below:
 - free relatives;
 - false free relatives;
 - correlatives.

Probably, pattern with interrogatives (2), but seem most problematic to find and / or evaluate.

2. Slavic *č*-pronouns, the basic data²

- The stem is common Slavic (Vasmer 1986: 323–324).
- Interrogative and indefinite *č*-pronouns invariably refer to animates (Beličova 1988).
- The relative use of interrogative *čej*: late, not Common Slavic (Gołąb, Friedman 1972: 31–32); (Keršiene 1973: 27, 56): Old Russian, Old Ruthenian: *čej* is used only rarely if compared to the modern use.

	The č		some relevant	Beličova	The use in headed postnominal relative				
		pronoun	comments in	1988	clauses (with	clauses (with animates and inanimates)			
			grammatical		ipm (NB:	the size of	the		
			descriptions		mostly	(sub)corpora	corpora		
					preliminary!)		_		
	Czech	čí			0	1,629,868	ParaSol		
2	Polish		(Gołąb,			2 205 050			
Slavic		czyj	Friedman 1972): OK, but rather colloquial	not used in	0	3,395,950	ParaSol		
West 9	Slovak	čí		RCs with head nouns	0	1,457,925	ParaSol		
We	Upper Sorbian		(Bartels,	neau nouns					
		čejiž	Spiess 2012: 226):		0,3	44,367,372	HOTKO		
			almost unused						

Table 1. The basic data on the use of *č*-pronouns in headed postnominal relative clauses

² I'd like to thank Peter Arkadiev and Philip Minlos for their comments on the Slavic part of the study.

		The <i>č</i> -	some relevant	Beličova	The use in hea	ded postnomin	al relative		
	pronoun		comments in	omments in 1988		clauses (with animates and inanimates)			
			grammatical		ipm (NB:	the size of	the		
			descriptions		mostly	(sub)corpora	corpora		
					preliminary!)				
C	Russian		(Švedova 1980:						
Slavic		čej	§ 2880): animates (see		42	3,637,357	ParaSol		
SI			above)	1					
East	Ukranian	čij		only used with human	22	1,017,057	ParaSol		
Ш	Belorusan	čyj		reference	10	482,467	ParaSol		
	Slovene		(Gołąb, Friedman	Telefence			Nova		
		čigar	1972: 41): only		9	3,126,259	Beseda, ~		
1c.			personal antecedents				20th c.		
Slavic	Bulgarian	čijto							
n S	Macedonian	čijšto, čij							
South	Serbian,			also used					
Š	Croatian,	x:::		with inanimates					
	Bosnian,	čiji		manmates					
	Montenegrin								

3. Č-pronouns with inanimate heads: some illustrations

3.1. East Slavic

- RUSSIAN (1)
- UKRAINIAN
- (5) ParaSol, S. Lem, Golos neba

Vin buv zadumanyj jak golos, **čije** vidlunnja povernet'sja $\langle ... \rangle$ it was conceived as voice whose echo will.return 'It was conceived as a voice whose echo would return...'³.

BELARUSAN

(6) Corpus Albaruthenicum, A. I. Lučanok. Udaskanalenne instytucyjanal'naj madeli respubliki Belarus'

(...) geagrafičny raen, **čye** mežy vyznačany

geographical area whose boundaries are.defined

jae zdol'nascju abkladac' adatkam paddanykh

by its ability impose tax on citizens

"... geographical area whose boundaries are defined by its ability [ability of the state] to tax the citizens".

3.2. South Slavic

- BULGARIAN
- (7) Intercorp

(...) produkti, čijto naj-goljam tegloven komponent e juta products whose most heavy component is jute '...products whose largest component by weight is jute'

- MACEDONIAN
- (8) ParaSol, U. Eko. Imeto na rozata

(...) Firenca za čii prekrasni crkvi imavme slušnato nogu falbi Florence about whose beautiful churches we.had heard many praises '...Florence, whose churches I had heard praised as most beautiful'

SLOVENE

(9) Nova beseda, Damijan Šinigoj. Neizstreljeni naboj
 metek ⟨...⟩ čigar inicialna kapica je bila le nekaj desetink limetra

bullet whose capsule had been only some tenths of millimeter

³ Where possible, the original / professional translations are given.

oddaljena	od	udarne igle
distanced	from	firing pin

- SERBIAN (10), CROATIAN (11), BOSNIAN (12), MONTENEGRIN (13)
- (10) RNC, D. Braun. Da Vinčijev kod

$\langle \rangle$		<i>zgradama</i> buildings		<i>stanovnici</i> inhabitants		<i>deo dana</i> part of.day
		<i>svojim</i> their				

'...in these halls where the residents spent most of the day in their rooms in prayer'

(11) Intercorp, M. Kundera. Besmrtnost

(...) prije svadbe (u čiju je¹ nužnost ipak u dubini duše mnjao²)
 before wedding in whose necessity still in depth of.soul he.doubted^{1,2}
 ...before his wedding day (whose necessity he doubted in his heart of hearts)'

(12) The Oslo Corpus of Bosnian Texts

(...) preko drvene ograde na čijem je¹ vrhu bila² bodljikava žica over wooden fence on whose top was^{1,2} barbed wire
 '...over a wooden fence with barbed wire on top'

(13) Montekorpus

Predlog zakona čiji predlagač nije Vlada (...) proposal of.law whose proposer is.not Government '...a proposed law whose proposer is not Government...'

4. Some idea of frequencies

- Yandex search engine;
- Why do it:
 - to make sure the relevant examples are
 - · rather numerous;
 - not just calqued (as could be the case in translational corpora);
 - not outdated.
 - to get some idea of the difference between the languages in relatively comparable sets of data;
- Search conditions:
 - only masculine singular heads (\approx the distribution of Slovene *čigar* [Derbyshire 1993: 52]);
 - only the relative clauses with the possessive phrase on the first place (not even a preposition before);
 - only the relative clauses with single-word possessees.
 - the last (chronologically) sentences with one of the relativizers in Yandex (no more than 100);

Table 2. The frequency of *č*-pronouns as compared to other means of internal possessor relativization (Yandex)

	1 2	1	1		1		
		East Slavic		South Slavic			
-	Russian (<i>čej</i> ,	Ukrainian (<i>čij</i> ,	Belarusan (<i>čyj</i> ,	Slovene (<i>čigar</i> ,	Croatian (<i>čiji</i> ,	Bulgarian (<i>čijto</i> , –)	Macedonian (<i>čijšto, čij</i> , –)
	kotorogo)	jakogo)	jakoga)	katerega)	kojeg(a))	(0,00,)	(0,510, 0,9,)
'man' ('human')	0,59 (59/100)	0,83 (83/100)	0,49 (49/100)	0,49 (42/85)	0,99 (99/100)	(1)	(1)
'man' ('male')	0,43 (43/100)	0,45 (45/100)	0,6 (9/25)	0,48 (15/31)	1,00 (100/100)	(1)	(1)
'institute'	0,09 (9/100)	0,08 (8/100)	0,11 (1/9)	0,00 (0/10)	0,98 (44/45)	(1)	(1)
ʻfilm'	0,03 (3/100)	0,03 (3/100)	0,00 (0/38)	0,05 (5/100)	0,99 (99/100)	(1)	(1)

Comments on the table:

- Slovene: patterns with East Slavic;
 - 18–21 c.: a decrease in the use of *čigar* with animate heads, an even more dramatic decrease in the use of *čigar* with inanimate heads;

	The author's year of birth		
	1751-1850	1851-1900	1901-2000
1. ipm with animate heads (<i>čigar</i>)	48 (69)	29 (136)	9 (29)
2. ratio of <i>čigar</i> with animate heads (<i>čigar</i> , <i>katerega</i>)	0,9 (69/75)	0,9 (136/151)	0,7 (29/44)
3. ratio of <i>čigar</i> with inanimate heads (<i>čigar</i> , <i>katerega</i>)	0,3 (8/23)	0,4 (26/64)	0,1 (4/57)
4. 2./3.	2,7	2,4	9,5

Table 3. Diachronical data on the frequency of Slovene *čigar* (Nova Beseda)

Could be indirectly related to the fact Slovene, unlike other South Slavic languages, uses the relative pronoun *kateri*, which is cognate with Russian *kotoryj*.

- East Slavic and Slovene: at least some examples of *č*-pronouns with inanimate heads in non-translations;
- East Slavic and Slovene: still a strong correlation between animacy and the choice of *č*-pronouns.

5. More data

(My apologies: not as corpus-based, not as quantitative, not at all Slavic, work deep in progress)

- Really few languages which could be relevant:
- a very limited set of languages with relative pronouns, a well-known SAE feature, see (Haspelmath 2001: 1494–1495)
- not just any language with relative pronouns:
 - in some no animacy distinction in relative pronouns (e.g. Latvian);
 - in some the "animate" relative pronoun is never or almost never used with nominal heads (e.g. Finnish);
 - some: not enough data available (e. g., Romani).
- ENGLISH (3)

DUTCH

(14) InterCorp, Douglas Adams. Het Transgalactisch Liftershandboek

Een computer wiens operationele parameters ik nog niet waard

a computer who.GEN.M operational parameters I yet not worth

- ben uit te rekenen
- am out to calculate

'A computer whose merest operational parameters I am not worthy to calculate'

Google: "huis waarvan de eigenaar" OR "huis wiens eigenaar" (house whose owner) -48:4, not frequent, but probably, not accidental

- INGRIAN FINNISH
- (15) GEN, possessor: shift

nurka-s seisò kuappi kenen / [?]*minkän ukse-t o-vat kīn* corner-IN stay.3SG cupboard who.GEN what.GEN door-PL e-3PL closed 'In the corner, there's a cupboard with its doors closed'.

(16) NOM, SU: no shift

poütä mikä / *kuka seisò nurka-s on matala table what who stay.3SG corner-IN be.3SG low 'The table in the corner is low'.

(17) GEN, in PP: no shift

*nurka-s seisò kuappi minkän / *kenen piäl seisò lamppu* corner-IN stay.3SG cupboard what.GEN who.GEN on stay.3SG lamp 'In the corner, there's a cupboard with a lamp on it'.

(18) GEN, DO: no shift

*nurka-s seisò kuappi minkän / *kenen miä ost-i-n eilen* corner-IN stay.3SG cupboard what.GEN / who.GEN I buy-PST-1SG yesterday 'In the corner, there's a cupboard I bought yesterday'.

- HUNGARIAN?
 - · controversial data;
 - generally rejected by the speakers or accepted as only marginally possible⁴, but...
 - ... relatively frequent on the net:
- (19) Blikk.hu, 2011 (Hungarian daily)

Tiszakécske és Lakitelek között volt egy nádtetős ház, aki-nek а tulajdonosa Tiszakécske and Lakitelek among was one thatched house who-DAT the owner bele a bontásba $\langle \ldots \rangle$ пет egyezett agreed into the wrecking-ILL not

'Between Tiszakécske and Lakitelek, there was a thatched house, whose owner didn't give permission for its demolition'.

• could be some typos...



•

...however, they would be unbelievably frequent exactly in the expected positions:

				,	0	
					aki-	ami-
ház	aminek	/	akinek	a tulajdonosa	5	11
house	what.GEN		who.GEN	owner		
ház	amiben	/	akiben		0	82
house	in.which		in.who			
9.02.13	-9.03.13					

 Table 4. The frequency of Hungarian animate relative pronouns in different kinds of relative clauses, as attested in Google

Fischer's exact test, p = 0,00006 < 0.01.

ARMENIAN⁵

(20) constructed

OKšat em^1 sir-um² tek'st-ə. heyhinak-*ə* avs um in<mark>i</mark>. like-PRS.1SG^{1,2} very this text[NOM]-DEF who.GEN author[NOM]-DEF I.DAT c'avok', havtni čе unfortunately unknown NEG.COP.PRS.3SG lit. 'I really like this text, whose author is unknown to me'.

⁴ I wish to thank Klara Malova, Dorottya Dolovai, Virág Vajda and Szilvia Turoczki for their judgments. I am also grateful to Egor Kashkin for his help in getting in touch with Hungarian speakers.

⁵ Many thanks to Vasilisa Krylova for constructing this sentence and checking it with Armenian native speakers.

- To sum up, non-Slavic languages with some kind of relatively frequent animate relative pronouns used for possessor relativization: is there a shift in animacy?
- Yes:
- English;
- Dutch;
- Ingrian Finnish;
- · Armenian.
- Yes?
- · Hungarian.
- Probably, no (I did my best to find an example in large corpora and Google, but I didn't):
 Estonian.
 - Not (yet?) enough data:
 - Mordvin;
 - · Romani;
 - · ...
 - some other, but really few, at least in the sample of (Haspelmath 2001).
- There seems to be a strong tendency for the animacy shift to happen.
- 6. Why? What is so special about (in)animate possessors?
- Reasons which could "work" within some of the languages (NB: some of them are not actual explanations, but rather observations that could be relevant):
 - 1. Language-internal structural reasons:
 - 1.1. Some languages have no other strategy to relativize on internal inanimate possessors or its use is restricted, cf. "...the relative *whose* may be used to avoid the postponed *of which*" (Jespersen 2006 (1933): 152).
 - 1.2. In some languages, the competing strategies differ in the position of the relativizer. It might be more natural for a possessive relativizer to come first.
 Possessors are likely to be used for "anchoring", i. e. introducing referents into the discourse (Koptjevskaja-Tamm 2002).
- (21) (Paducheva 2004: 355): "Principle of referential compactness:

A referentially autonomous NP (in particular, a NP the reference of which is known to the speakers) must be introduced into the denotative space of the utterance earlier than its referentially dependent NPs".

• 1.3. In some languages, the strategies differ in the presence of agreement between the possessee and the relative pronoun.

~ (Beličova 1988): Czech *jejíž* (which.GEN.F) has developed a paradigm (*jejího*, *jejímu*).

- 1.4. In some languages, the strategies differ in the presence of (independent) case marking on the relative pronoun.
- 1.5. In some languages the "shifting" pronoun is more "specialized" in that it can only be used for possessor relativization.

1.4, 1.5. ~ "Light" "specialized" markers of different origin used for possessor relativization in French (*dont*), Swedish (*vars*), Spanish (*cuyo*).

- 2. Contact-induced changes
- (Hendery 2012: 235–237): a major factor in relative clause changes;
- · (Fiorentino 2007): much in common between the systems of relativizers in European languages;
- (Issatschenko 1983: 514): The relative use of Russian *čej* was, probably, influenced by French *dont*;
- · Ingrian Finnish: massive Russian influence;
- · Slavic languages: not only genetic links, but also much interference;
- other languages: you never know...

		-		r	ente nangaaget	
	1.1.	1.2.	1.3.	1.4.	1.5.	2.
	(almost)	difference	difference	difference	a more	contact influence
	no choice	in word	in +/_	in +/- case	specialized	expected
		order	agreement		marker	
Russian	_	$+^{6}$	+	+	+	? (French)
Ukrainian	_	+	+	+	+	? (other Slavic)
Belarusian	—	+	+	+	+	? (other Slavic)
Slovene	—	—	—	+	+	? (other Slavic)
Serbian, Croatian,		+/_	+	+	+	2 (athen Slavia)
Bosnian, Montenegrin	_	+/	Ŧ	— —	Ŧ	? (other Slavic)
Bulgarian	+					? (other Slavic)
Macedonian	+					? (other Slavic)
English	%	+	—	+	+	?
Dutch	_	_	+	_	+	?
Armenian	_	—	_	_	_	?
Ingrian Finnish	%					+ (Russian)
? Hungarian	_	_	_	_		?

Table 5. Available explanations of the pattern in different languages

Ergo: The language-internal structural reasons (any of them or all of them) might be relevant, but it's not the case that one of them determines the shift. Thus, the "trigger" is either none of them or a combination thereof.

One more explanation to be considered (however difficult to check):

- In possessors, there seems to be more interplay between animacy, definiteness and the kind of relation between the possessor and the possessee, partly along the lines of the Animacy Hierarchy (Silverstein 1976).
- Probably, that is because possessors are not actual participants of the situation and what matters is not the kind of object but rather its relation to the discourse.

E.g., the distinction between proper/common nouns is partly independent from animacy:
 Slovene, inanimate nouns, χ², p≈0,01

Table 6. The frequency of animate proper nouns

as heads of Slovene relative clauses with <i>čigar</i> and <i>kateri</i> (Nova Beseda)							
	čigar (whose)	katerega (which.GEN)	% of <i>čigar</i>				
animate proper nouns	69	4	95 %				
animate common nouns	165	34	83 %				

• Russian, inanimate nouns, χ^2 , p<0,01.

Table 7. The frequency of inanimate proper nouns as heads of relative clauses with čej and kotoryj (RNC)

	<i>čej</i> (whose)	kotorogo (which.GEN)	% of čej
inanimate proper nouns	113	1479	7,0 %
inanimate common nouns	777	15100	4,9 %

⁶ Though not in the 18th century when these changes started, for the details see (Kholodilova 2011: 12–16).

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The corpora:

The Oslo Corpus of Bosnian Texts (tekstlab.uio.no/Bosnian/Corpus.html)

Corpus Albaruthenicum (grid.bntu.by/corpus)

HOTKO – Hornolužický textový korpus (korpus.cz/corpora/run.cgi/first_form);

InterCorp (www.korpus.cz)

Montekorpus (eiprevod.gov.me/korpus)

Nova beseda (bos.zrc-sazu.si/s_beseda.html)

ParaSol - A Parallel Corpus of Slavic and other languages (parasol.unibe.ch)

RNC – Russian National Corpus (ruscorpora.ru)

Yandex - the Yandex search engine (yandex.ru)